

## Electronic Acknowledgement Receipt

|                                             |                                                                                                                                                                  |
|---------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>EFS ID:</b>                              | 5275290                                                                                                                                                          |
| <b>Application Number:</b>                  | 10587823                                                                                                                                                         |
| <b>International Application Number:</b>    |                                                                                                                                                                  |
| <b>Confirmation Number:</b>                 | 2037                                                                                                                                                             |
| <b>Title of Invention:</b>                  | Use of benzonaphthoazulenes for the manufacture of pharmaceutical formulations for the treatment and prevention of central nervous system diseases and disorders |
| <b>First Named Inventor/Applicant Name:</b> | Mladen Mercep                                                                                                                                                    |
| <b>Customer Number:</b>                     | 23347                                                                                                                                                            |
| <b>Filer:</b>                               | John Scott Young./Valerie Phillips                                                                                                                               |
| <b>Filer Authorized By:</b>                 | John Scott Young.                                                                                                                                                |
| <b>Attorney Docket Number:</b>              | PLP537USw                                                                                                                                                        |
| <b>Receipt Date:</b>                        | 05-MAY-2009                                                                                                                                                      |
| <b>Filing Date:</b>                         | 20-DEC-2006                                                                                                                                                      |
| <b>Time Stamp:</b>                          | 09:22:35                                                                                                                                                         |
| <b>Application Type:</b>                    | U.S. National Stage under 35 USC 371                                                                                                                             |

### Payment information:

|                                          |                 |
|------------------------------------------|-----------------|
| Submitted with Payment                   | yes             |
| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$670           |
| RAM confirmation Number                  | 6720            |
| Deposit Account                          | 071392          |
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## File Listing:

| Document Number | Document Description                                  | File Name                         | File Size(Bytes)/ Message Digest                    | Multi Part /.zip | Pages (if appl.) |
|-----------------|-------------------------------------------------------|-----------------------------------|-----------------------------------------------------|------------------|------------------|
| 1               | Extension of Time                                     | PLP537USwEOT.pdf                  | 97289<br>c53b70649a9d6f6a0cd4578c1228c36a2b4869     | no               | 1                |
| Warnings:       |                                                       |                                   |                                                     |                  |                  |
| Information:    |                                                       |                                   |                                                     |                  |                  |
| 2               |                                                       | PLP537USwAmendmentToOA121608.pdf  | 586222<br>8b38240a9736aaf79ba725a71cd442b13f1205    | yes              | 14               |
|                 | Multipart Description/PDF files in .zip description   |                                   |                                                     |                  |                  |
|                 | Document Description                                  |                                   | Start                                               | End              |                  |
|                 | Amendment/Req. Reconsideration-After Non-Final Reject |                                   | 1                                                   | 1                |                  |
|                 | Claims                                                |                                   | 2                                                   | 10               |                  |
|                 | Applicant Arguments/Remarks Made in an Amendment      |                                   | 11                                                  | 14               |                  |
| Warnings:       |                                                       |                                   |                                                     |                  |                  |
| Information:    |                                                       |                                   |                                                     |                  |                  |
| 3               |                                                       | PLP537USwSupplIDSandPTO1449_3.pdf | 233187<br>16995adb124cf323603a9ac27a6d1131eb366d5   | yes              | 3                |
|                 | Multipart Description/PDF files in .zip description   |                                   |                                                     |                  |                  |
|                 | Document Description                                  |                                   | Start                                               | End              |                  |
|                 | Transmittal Letter                                    |                                   | 1                                                   | 2                |                  |
|                 | Information Disclosure Statement (IDS) Filed (SB/08)  |                                   | 3                                                   | 3                |                  |
| Warnings:       |                                                       |                                   |                                                     |                  |                  |
| Information:    |                                                       |                                   |                                                     |                  |                  |
| 4               | Foreign Reference                                     | WO99019317.pdf                    | 1301961<br>b664a94ac3ac77084395393ac517d8e9942d021  | no               | 28               |
| Warnings:       |                                                       |                                   |                                                     |                  |                  |
| Information:    |                                                       |                                   |                                                     |                  |                  |
| 5               | Foreign Reference                                     | WO03084961.pdf                    | 2155679<br>1d503600f0dab817026e56a2cb4b5c57a9a596d5 | no               | 59               |

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| 6                            | NPL Documents           | DeClercq.pdf     | 1015530<br>4846a6d4f966281a807c98c7b1b1c1b7b1d7a672 | no | 19 |
| Warnings:                    |                         |                  |                                                     |    |    |
| Information:                 |                         |                  |                                                     |    |    |
| 7                            | NPL Documents           | Shih.pdf         | 1476687<br>ba24b4b4b61c299a273bd0728ac9fcd8390914   | no | 26 |
| Warnings:                    |                         |                  |                                                     |    |    |
| Information:                 |                         |                  |                                                     |    |    |
| 8                            | NPL Documents           | SunExposure1.pdf | 75432<br>52a41caac7b40462cdd5392032da08c0bd494ff    | no | 2  |
| Warnings:                    |                         |                  |                                                     |    |    |
| Information:                 |                         |                  |                                                     |    |    |
| 9                            | NPL Documents           | SunExposure2.pdf | 74922<br>3d1d175d0c778c0b4184f5ba653eac0f3c75       | no | 2  |
| Warnings:                    |                         |                  |                                                     |    |    |
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| 10                           | NPL Documents           | Viruses.pdf      | 37316<br>9549dbda0fbc9943456c79483a8f53e90343       | no | 1  |
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| Information:                 |                         |                  |                                                     |    |    |
| 11                           | NPL Documents           | Sperling.pdf     | 843520<br>1ba60914e32ba02a64b11953f184b01e97a0ca31  | no | 9  |
| Warnings:                    |                         |                  |                                                     |    |    |
| Information:                 |                         |                  |                                                     |    |    |
| 12                           | Fee Worksheet (PTO-875) | fee-info.pdf     | 32111<br>99c4cb08085e66888830a8c327c0b641015336d    | no | 2  |
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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.